

PATENT

DORSEY & WHITNEY LLPUS BANK CENTRE
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(206) 903-8820

December 8, 2004

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TO: Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

FAX # (703) 872-9306

FROM: Marcus Simon, Esq.

TELEPHONE : (206) 903-8800 Main; (206) 903-8787 Direct

Applicant : Vishnu K. Agarwal

Attorney Docket No.: 501082.06

Serial No. : 09/652,842

Group Art Unit : 2825

Filed : August 31, 2000

Examiner : Behur V. Keshavan

Confirmation No. : 4065

Title : DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A
CONDUCTIVE LAYER IN SAID DEVICE

Date Sent : December 8, 2004

Documents Attached for Filing:

	<u>No. Pages</u>
Supplemental Information Disclosure Statement	2
Fee Transmittal Sheet (+ copy)	2
Form PTO-1449	1
<u>Total Number of Pages</u> (including cover sheet):	6

Original will not be sent

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PLEASE CONTACT CAROLYN L. ROSS AT (206) 903-8813 IF TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

FEE TRANSMITTAL SHEET (FOR FY 2004)

Complete if Known

Application No. 09/652,842
 Filing Date August 31, 2000
 First Named Inventor Vishnu K. Agarwal
 Group Art Unit 2825
 Examiner Belur V. Keshavan
 Atty. Docket Number 501082.06

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1286; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1001	790	2001	385	<input type="checkbox"/> Utility Filing Fee
1002	350	2002	170	<input type="checkbox"/> Design Filing Fee
1003	550	2003	285	<input type="checkbox"/> Plant Filing Fee
1004	790	2004	385	<input type="checkbox"/> Reissue Filing Fee
1005	160	2005	80	<input type="checkbox"/> Provisional Filing Fee

Subtotal (1) \$ 0

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	-	20	=	\$ 0
Ind.	-	3	=	\$ 0
Multiple Dependent Claims			x	\$ =

Subtotal (2) \$ 0

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
1201	88	2201	44	Independent claims in excess of 3
1202	18	2202	9	Claims in excess of 20
1203	300	2203	150	Multiple dependent Claim
1204	88	2204	44	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee paid
1301	130	2301	65	Surcharge - Late filing fee or oath	\$
1302	50	2302	25	Surcharge - late provisional filing fee or cover sheet	\$
1303	130	2303	130	Non-English specification	\$
1312	2,620	2312	2,620	For Filing a Request for ex parte Reexamination	\$
1304	920	2304	820	Requesting publication of SIR prior to Examiner action	\$
1305	1,840	2305	1,840	Requesting publication of SIR after Examiner action	\$
1251	110	2251	55	Extension for reply within first month	\$
1252	430	2252	215	Extension for reply within 2 nd month	\$
1253	980	2253	480	Extension for reply within 3 rd month	\$
1254	1,530	2254	785	Extension for reply within 4 th month	\$
1255	2,080	2255	1,040	Extension for reply within 5 th month	\$
1401	340	2401	170	Notice of Appeal	\$
1402	340	2402	170	Filing a brief in support of an appeal	\$
1403	300	2403	150	Request for oral hearing	\$
1412	110	2412	55	Terminal Disclaimer Fee	\$
1452	110	2452	55	Petition to revive - unavoidable	\$
1453	1,370	2453	685	Petition to revive - unintentional	\$
1501	1,370	2501	685	Utility/Reissue issue fee	\$
1502	490	2502	245	Design issue fee	\$
1503	660	2503	330	Plant issue fee	\$
1460	130	2460	130	Petitions to the Commissioner	\$
1807	50	2807	50	Processing fee under 37 CFR 1.17(q)	\$
1808	180	2808	180	Submission of IDS	\$
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$
1801	780	2801	395	Request for Continued Examination (RCE)	\$

Other fee (specify)

Subtotal (3) \$ 0

Total Amount of Payment: \$ 0

Submitted by:

Name: Marcus Simon

Reg. No.: 50,258

Telephone: (206) 903-8800

Signature: *Marcus Simon*

Date: December 8, 2004

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FEE TRANSMITTAL SHEET (FOR FY 2004)

Complete if Known

Application No.	09/652,842
Filing Date	August 31, 2000
First Named Inventor	Vishnu K. Agarwal
Group Art Unit	2825
Examiner	Belur V. Keshavan
Atty. Docket Number	501082.06

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1286; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee (\$)	Small Entity	Fee (\$)	Fee Description
	790	385		<input type="checkbox"/> Utility Filing Fee
	350	170		<input type="checkbox"/> Design Filing Fee
	550	265		<input type="checkbox"/> Plant Filing Fee
	790	385		<input type="checkbox"/> Reissue Filing Fee
	160	80		<input type="checkbox"/> Provisional Filing Fee
Subtotal (1)				\$ 0

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	-	20	x \$	\$ 0
Ind.	-	3	x \$	\$ 0
Multiple Dependent Claims			x \$	\$
Subtotal (2)				\$ 0

Large Entity	Fee (\$)	Small Entity	Fee (\$)	Fee Description
88	44			Independent claims in excess of 3
18	9			Claims in excess of 20
300	150			Multiple dependent Claim
88	44			Reissue independent claims over original patent
18	9			Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity	Fee (\$)	Small Entity	Fee (\$)	Fee Description	Fee paid
130	66			Surcharge - Late filing fee or oath	\$
50	25			Surcharge - late provisional filing fee or cover sheet	\$
130	130			Non-English specification	\$
2,520	2,520			For Filing a Request for ex parte Reexamination	\$
920	920			Requesting publication of SIR prior to Examiner action	\$
1,840	1,840			Requesting publication of SIR after Examiner action	\$
110	55			Extension for reply within first month	\$
430	215			Extension for reply within 2 nd month	\$
980	490			Extension for reply within 3 rd month	\$
1,530	765			Extension for reply within 4 th month	\$
2,080	1,040			Extension for reply within 5 th month	\$
340	170			Notice of Appeal	\$
340	170			Filing a brief in support of an appeal	\$
300	150			Request for oral hearing	\$
110	55			Terminal Disclaimer Fee	\$
110	55			Petition to revive - unavoidable	\$
1,370	685			Petition to revive - unintentional	\$
1,370	685			Utility/Reissue issue fee	\$
490	245			Design issue fee	\$
660	330			Plant issue fee	\$
130	130			Petitions to the Commissioner	\$
50	50			Processing fee under 37 CFR 1.17(q)	\$
180	180			Submission of IDS	\$
40	40			Recording each patent assignment per property (times number of properties)	\$
790	395			Request for Continued Examination (RCE)	\$

Other fee (specify)

Subtotal (3) **\$ 0**

Total Amount of Payment: **\$ 0**

Submitted by:

Name: Marcus Simon

Reg. No.: 50,258

Telephone: (206) 903-8800

Signature: *Marcus Simon*

Date: *December 8, 2004*

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DEC 08 2004

PATENT

I hereby certify that on the date specified below, this correspondence is being transmitted via facsimile to The Commissioner for Patents at (703) 872-9306.

December 8, 2004
Date

Carolyn L. Ross
Carolyn L. Ross

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.	: 09/652,842	Confirmation No.	: 4065
Applicant	: Vishnu K. Agarwal		
Filed	: August 31, 2000	Attorney Docket No.:	: 501082.06
Art Unit	: 2825	Customer No.	: 27,076
Examiner	: Belur V. Keshavan		
Title	: DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A CONDUCTIVE LAYER IN SAID DEVICE		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/200,253, filed November 25, 1998. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he

1 of 2

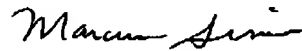
App. No. 09/652,842

is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Marcus Simon
Registration No. 50,258

Enclosure:

Fee Transmittal (+ copy)
Form PTO-1449

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Seattle, WA 98101-4010
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Facsimile: (206) 903-8820

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Sheet 1 of 1

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 501082.06	APPLICATION NO. 09/652,842
INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>		APPLICANT(S) Vishnu K. Agarwal	
		FILING DATE August 31, 2000	GROUP ART UNIT 2825

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,858,831	01/12/99	Sung	438	241	
	AB	5,963,826	10/05/99	Takanabe et al.	438	622	
	AC	6,010,931	01/04/00	Sun et al.	438	240	
	AD	6,081,010	06/27/00	Sanchez	257	345	
	AE	6,114,200	09/05/00	Yew et al.	438	253	
	AF	6,146,959	11/14/00	DeBoer et al.	438	393	
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).